

## Overview

- Access Control
- Principals
- Security Descriptor
- Security Identifier
- Discretionary Access Control List
- System Access Control List
- Object Creation Rules
- Privileges
- Auditing

## Access Control Matrix

- Map domains with objects
  - Every process is assigned one domain
- Elements
  - Column : Subject/principal/group
  - Row : Object/resource
  - Cell : Right/permission
- Focus
  - CL : Capability List - all rights, one subject (User focused)
  - ACL : Access Control List - all subjects, one object (Object focused)

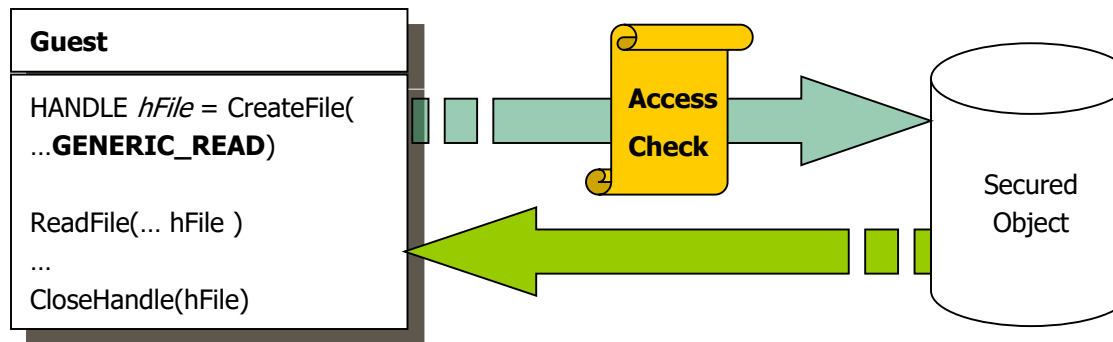
## Access Control Matrix

The diagram illustrates an Access Control Matrix. A vertical arrow on the left is labeled 'objects' and points downwards. A horizontal arrow at the top is labeled 'domains' and points to the right. The matrix is a table with the following structure:

	Sid1	Sid2	Sid3	Sid4	...
File	Read, write	Read	Execute, delete	Write	
Directory	Read	Read	Read	Read	
Mutex	Write	Write, execute			Synchronize
Process					
...					

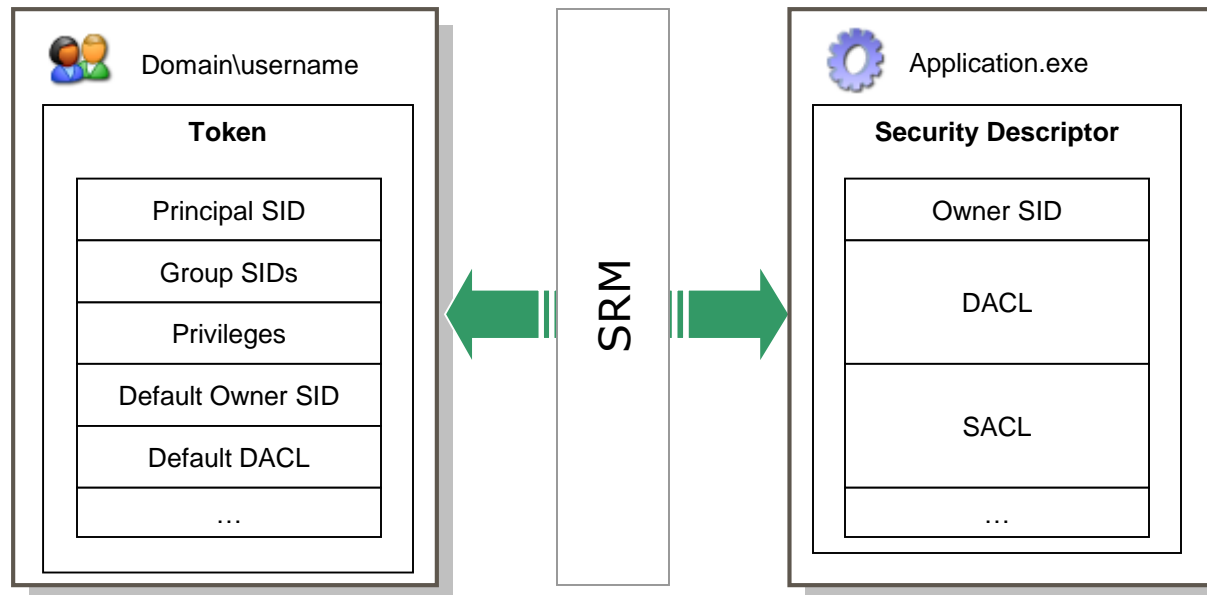
## Access Control

- Strategy is a three variables equation
  1. User token = Security *context* of a process/thread – (Who)
  2. Access Mask = Access desired – (What, intention)
  3. Security Descriptor = List of *rules* associated an object
- On success, a *handle* stores access permissions
  - Security < tradeoff > performance
- New handle must be used for new permission
  - Open/Close/Open



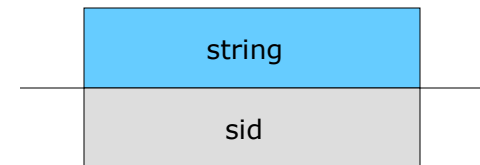
## Accessing a protected Object

- Check of identity and group membership - Who
- Check of permissions – What
- Check of privileges



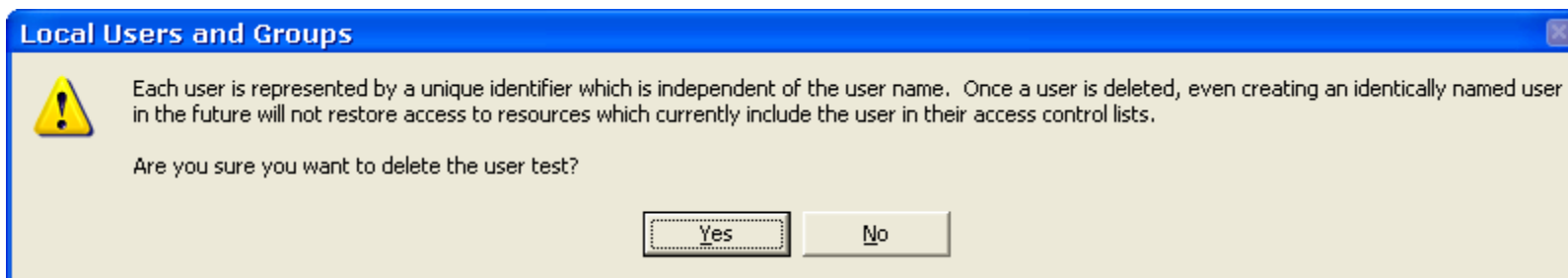
## Principal

- A Principal is an entity that can prove his identity
  - User, group, machine, domain
- A principal must have an existing account
- A principal is uniquely identified in time and space
  - DomainA\Jim
  - DomainB\Jim
  - Computer\Jim
- Principals names are language independent
  - Administrator
  - Administrateur
  - Spanish, Chinese, ...
- Principals have two names
  - Human-readable - unique within restricted scope
  - Machine-readable - unique in space and time



## Security Identifier

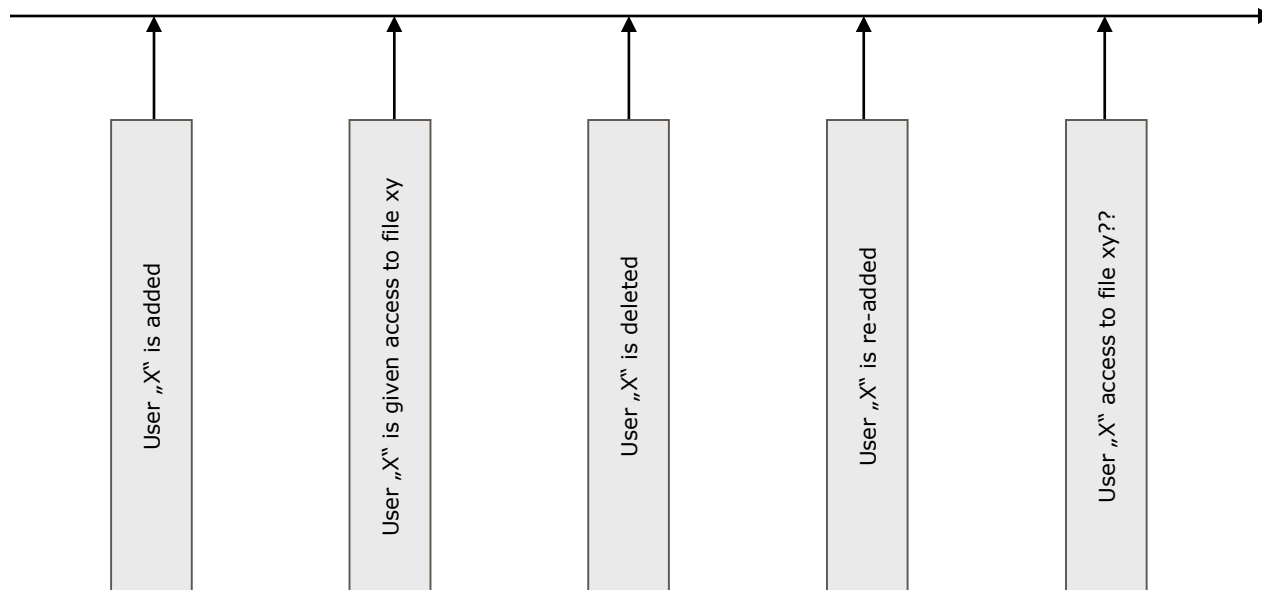
- Motivation
  - Localization and built-in name
  - User renaming and movements



- Solution
  - Accounts are internally represented by an alphanumeric value
    - Fully and uniquely (space and time) identification of a principal
    - When a principal logs on, the SID is retrieved from the SAM
  - Renaming an account as no effect

## Security Identifier

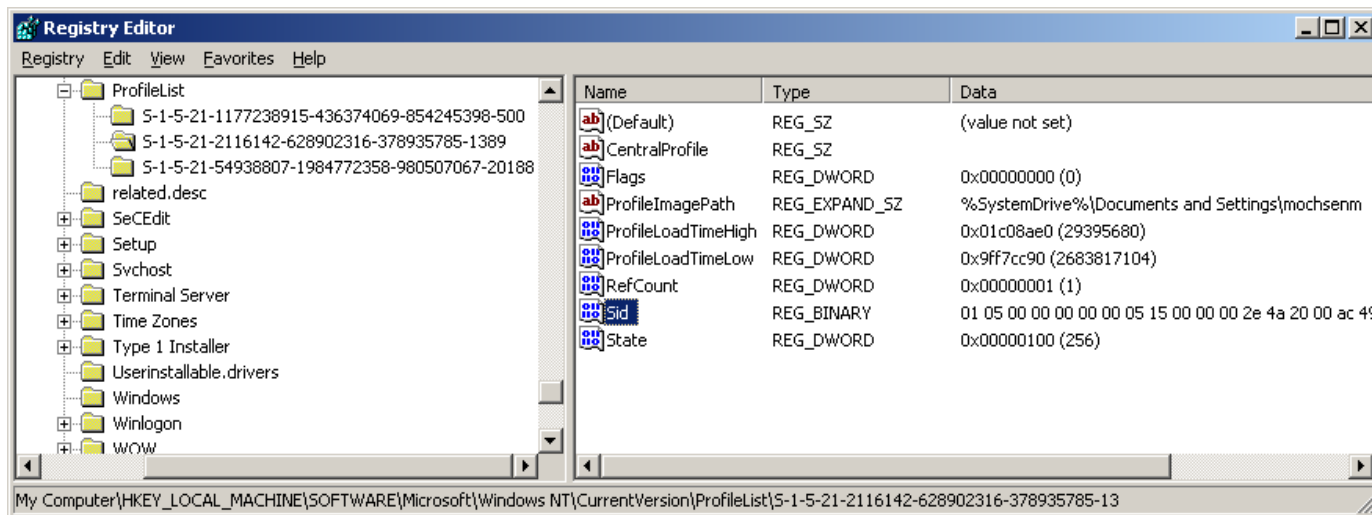
- Life-time of a Principal





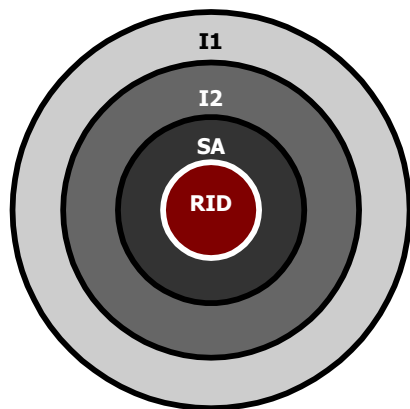
## Security Identifier - Discovery

- Groups and Users names are easy to collect remotely
  - Connect -> discover -> attack...
  - User2sid, Sid2user
  - Whoami
  - Getsid



## Security Identifier - Format

- S – R – I1 – I2 - SA – SA – SA - RID



### I1: Authority (Space Uniqueness)

48-bit Identifier value, Agent that issued the SID

Authority	Value
World	1
..	2
..	3
NT	5

### I2 : Tag

Tag	Value
BUILTIN	21
UNIQUE	32

### SA: Sub-Authority (Time Uniqueness)

Machine unique 96 bit value, indicates trustee relationship to the issuing Authority

### RID: Relative Identifier (Non-uniqueness)

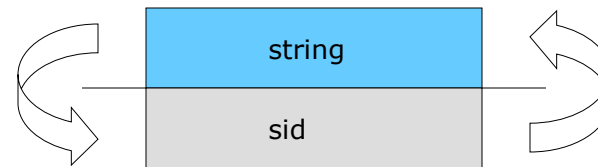
Users	RID
...\Administrator	500 (0x000001F4L)
...\Guest	501 (0x000001F5L)
...	

Groups	RID
...\Administrators	512 (0x00000200L)
...\Users	513 (0x00000201L)
...\Guests	514 (0x00000202L)
...	

New Principal	RID
Domain\Name	<b>1000++</b>
...	

## Security Identifier - Translation Service

- LookupAccountName(  
    SystemName,     // in  
    AccountName,    // in  
    Sid,             // out  
    DomainName,...); // in
- LookupAccountSid(  
    SystemName,     // in  
    Sid,             // in  
    Name,            // out  
    DomainName,...); // in

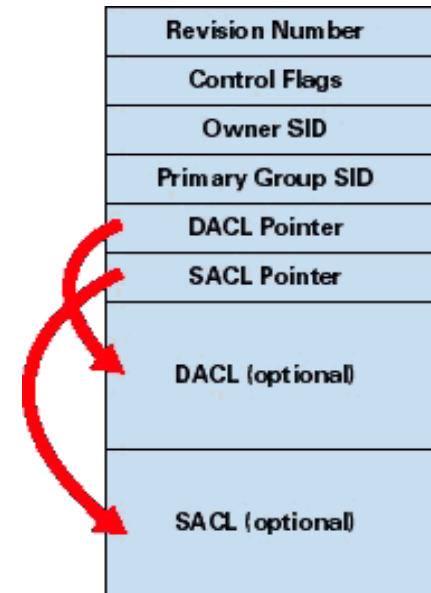


## Security Descriptor

- Collection of security information associated with an object describing its security policy
- Second part of the objects security equation
- Contains any, all or none of
  - Object's owner SID
  - Discretionary Access-Control List (DACL) - the owner of an object specifies the access control policy for that object at his/her discretion (hence the name DACL)
  - System Access-Control List (SACL)
- Access control policy is specified as an access control list

## Security Descriptor - Anatomy

- **Revision Number**
    - Version of SRM that creates the SD
  - **Control Flags**
    - Inheritance, protection (isolation)
  - **Owner SID**
    - Object's owner
  - **Group SID**
    - Posix standard requires that an object can be owned by a group (not used)
  - **Primary Group SID**
  - **DACL Pointer**
  - **SACL Pointer**
  - **DACL (optional)**
  - **SACL (optional)**
- 
- **DACL**
    - Who has what access to an object
  - **SACL**
    - Which operation by which user should be audited
  - **SD comes in two flavours**
    - Absolute - fixed-length structure which contains pointers to other structures (system use)
    - Relative - Variable-length structure which contains offsets (persistence – registry..., wire transmission)



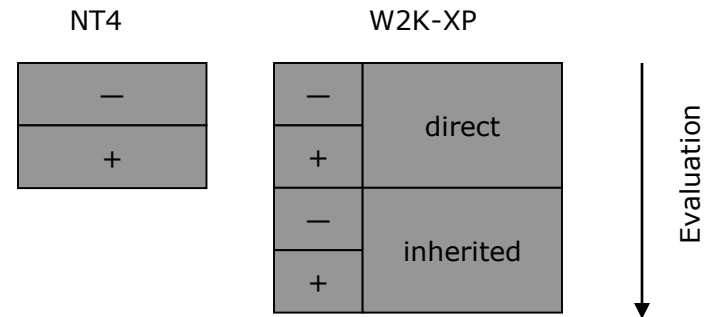
## Discretionary Access Control List

- “Who can do what” list
- List of zero or more Access Control Entries (ACEs)
- An ACE has four fields of information
  - Type (Denied “-” or Allowed “+”)
  - SID (Principal/Trustee)
  - Permission Mask
  - Inheritance flags (Directory/File)

Type	SID	Permission	Inheritance
+ (allow)	Everyone	R	Propagate ACEs
+	Friends	W	Isolate object
- (deny)	John	RD	...

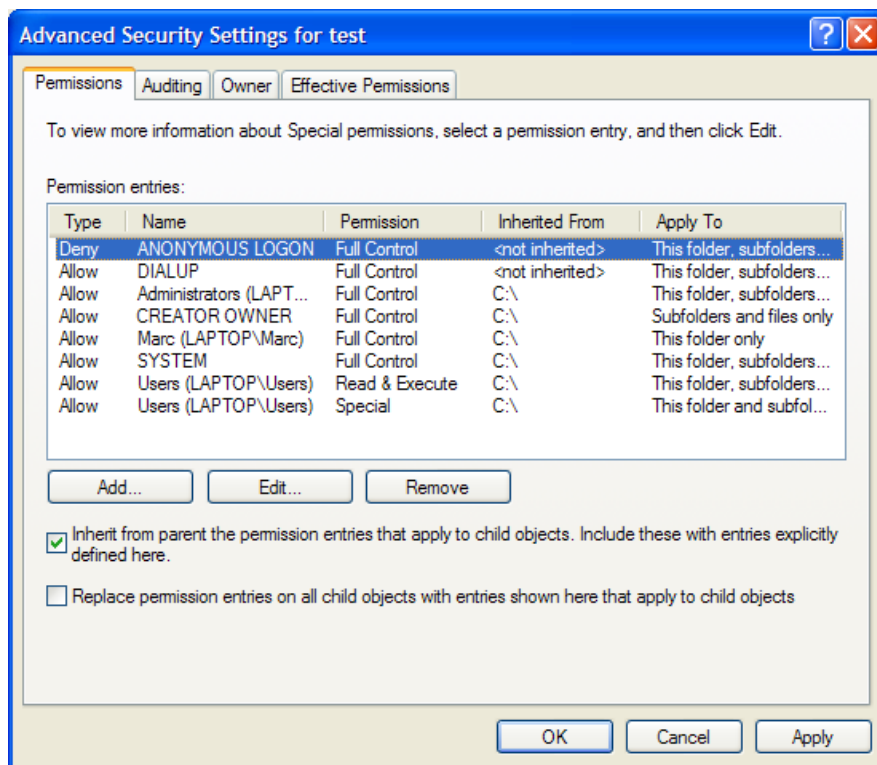
## Discretionary Access Control List

- Top to bottom evaluation looking for requested access, and stops immediately when:
  - Any requested access has been (directly/indirectly) explicitly denied
  - All requested access have been (directly/indirectly) explicitly granted
- Ordering
  - negative ACE (deny)
  - positive ACE (allow)
- Inheritable ACEs
  - Direct precedes indirect (inherited)



## Discretionary Access Control List

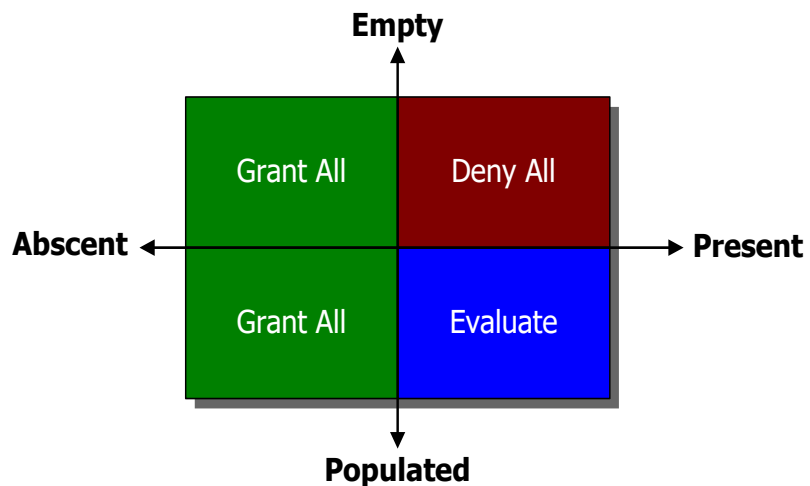
- Working with the DACL and SACL editor





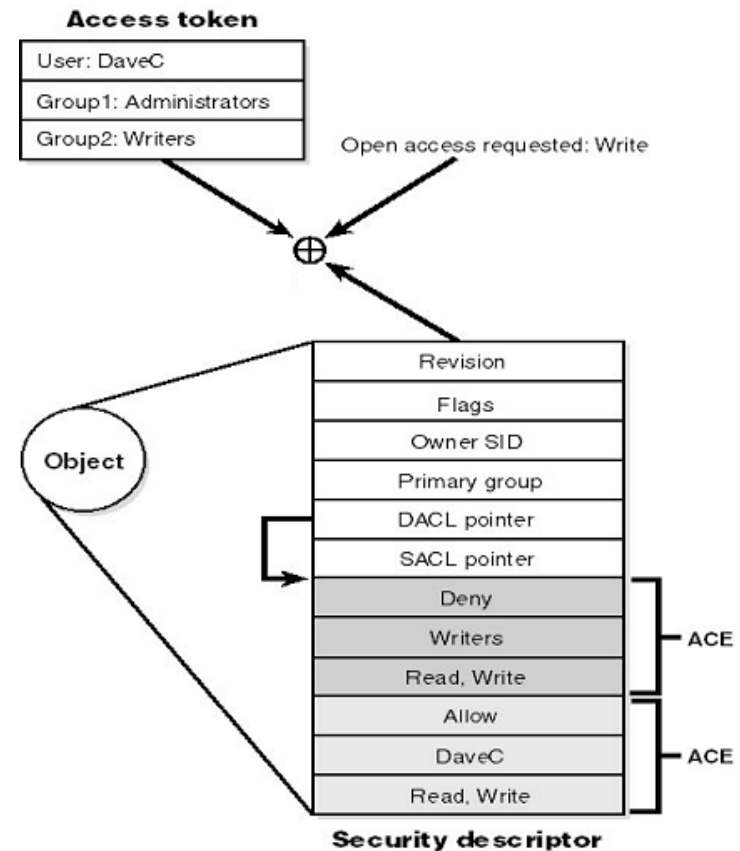
## DACL Evaluation

- Empty DACL denies access to everyone
- Null DACL grants full control access to everyone
- No DACL grants full control access to everyone
- Populated DACL evaluates the access control



## Access Check in Action

- Equation of three inputs...
  1. Access Token
  2. Access Request (Intention)
  3. Object's Security Descriptor

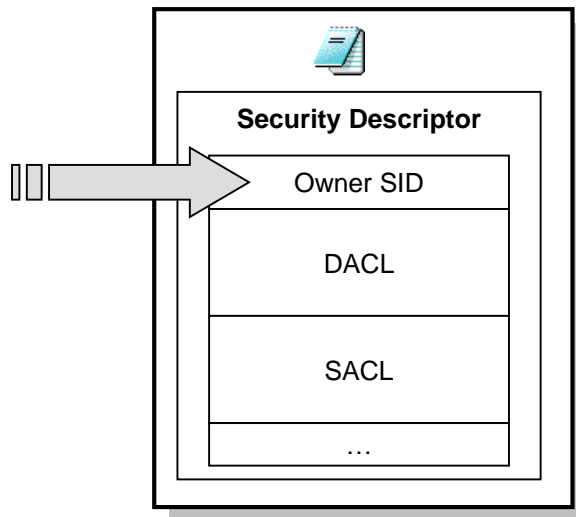


## System Access Control List

- Generated audits are located in the Events Log
- List of “who should be audited for what specific action”
- A SACL is not discretionary
  - ONLY Administrator, or user with SeSecurityPrivilege permission, can access the SACL
- SACL ordering
  - Positive ACE = Audit on success
  - Negative ACE = Audit on failure
  - An entry can be both positive and negative
- Order is not important

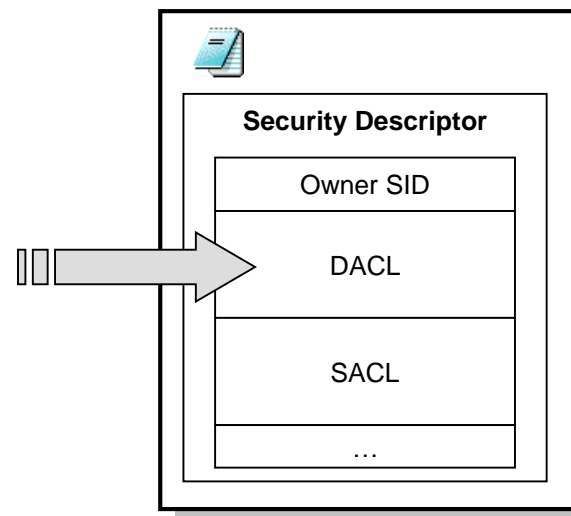
## Object Creation – Owner Rules

- ...

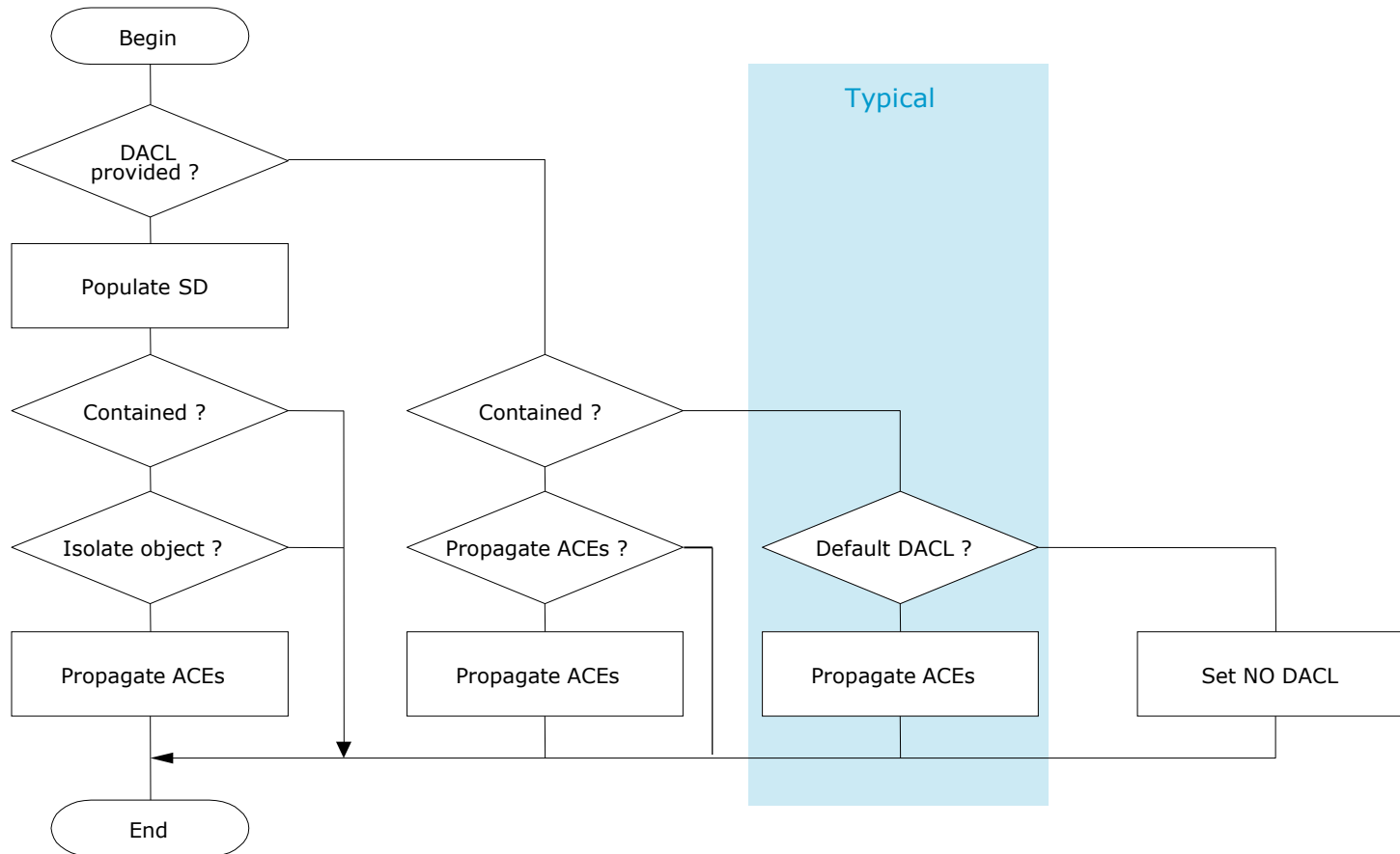


## Object Creation – DACL Rules

- The way a DACL is computed for a new object obeys complex rules
  - A DACL has been provided
  - A DACL has not been provided
  - The object is contained in another one
  - The container is marked to propagate its ACEs
  - The object is marked as to be isolated



## Object Creation – DACL Rules

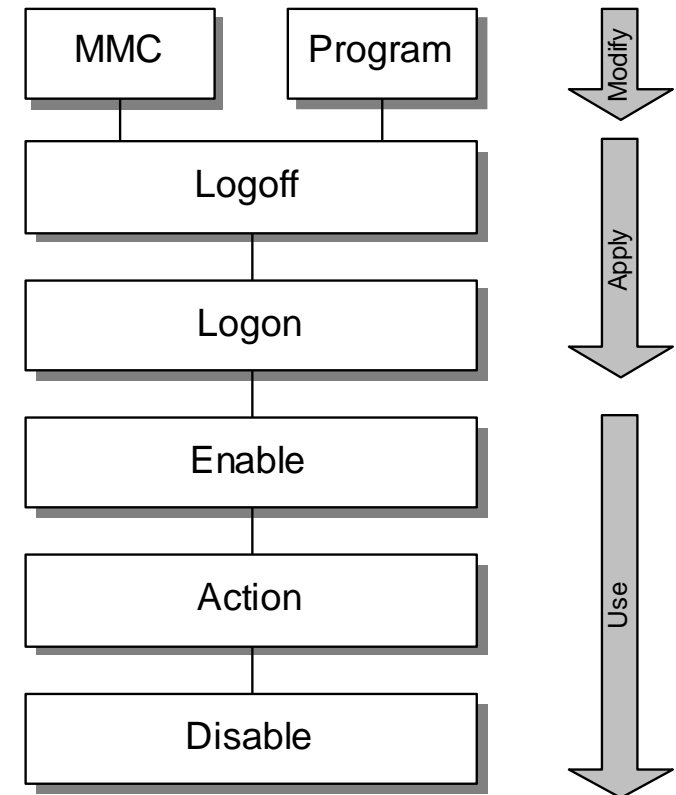


## Privileges

- User's right to perform specific tasks that usually affect the entire computer rather particular objects
  - Backup (read/write)
  - Shut-down the machine
  - Debug a program (attach to a process...and kill it!)
  - Change the system time
  - Be part of the TCB (logon creation)
  - Bypass Traverse checking (security <> performance)
- User's right to access system resources (global scope)
  - Load a driver
  - Increase quotas
- Privileges are injected in token ONLY at authentication time
- Privileges are cached in token
  - Granting a new privilege has absolutely no effect on existing session
- Privileges are granted relative to the local machine

## Privileges Management

- Two-tier mechanism
  - Privilege must be present
  - Privileges must be enabled
- Privileges cannot be added
  - Token must be refreshed
    - Logoff/on for interactive session
    - Shut down, start service session
- Privileges can only be switched on/off
  - OpenTreadToken(..)
  - AdjustTokenPrivileges(..)
  - ...perform action
  - AdjustTokenPrivileges(..)
- Many privileges usage are not audited
- Fixed numbers/types
- Applications cannot introduce new privileges





## Privileges Names

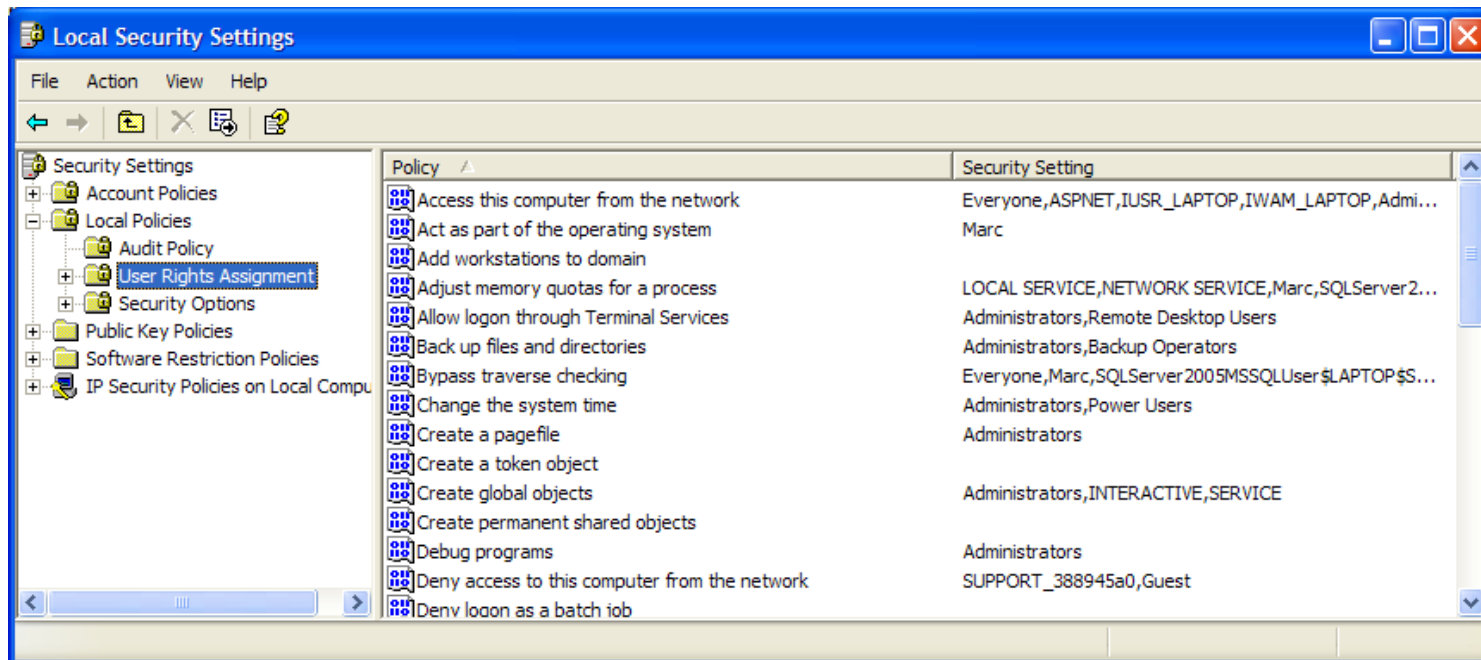
Name	Description
SeBackupPrivilege	Back up files and directories
SeChangeNotifyPrivilege	Bypass traverse checking
SeCreateDebugPrivilege	Debug programs
SeIncreaseQuotaPrivilege	Increase quotas
SeInteractiveLogonRight	Logon locally to an NT system
SeLoadDriverPrivilege	Load and unload Device drivers
SeMachineAccountPrivilege	Add workstations to a domain
SeNetworkLogonRight	Access the system from a network
SeRemoteShutdownPrivilege	Force the shutdown of a remote system
SeRestorePrivilege	Restore files and directories
SeSecurityPrivilege	Manage auditing and security log
SeShutdownPrivilege	Shut down the system
SeSystemProfilePrivilege	Profile system performance
SeSystemtimePrivilege	Change the system time
SeTakeOwnershipPrivilege	Take ownership of securable objects
SeTcbPrivilege	Act as part of the operating system
SeUndockPrivilege	Remove the computer from the docking station

# Windows Security Components

The components that built the Windows security environment

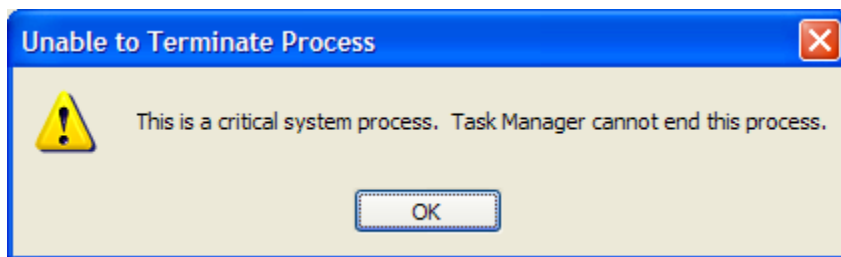
## Privileges Management

- Privileges are assigned by administrators to individuals or groups
- User Rights and Privileges are synonymous since both are related to principal(s) behind a process



## Privileges Usage

- System protects the administrator to hurt himself
  - Taskmgr cannot kill some services and system process
  - Administrator „Access denied“!?
  - When run by an administrator, taskmgr's token includes SeDebugPrivilege, but it is disabled



- Modify the token associated with taskmgr
  - PVIEW
  - KILL

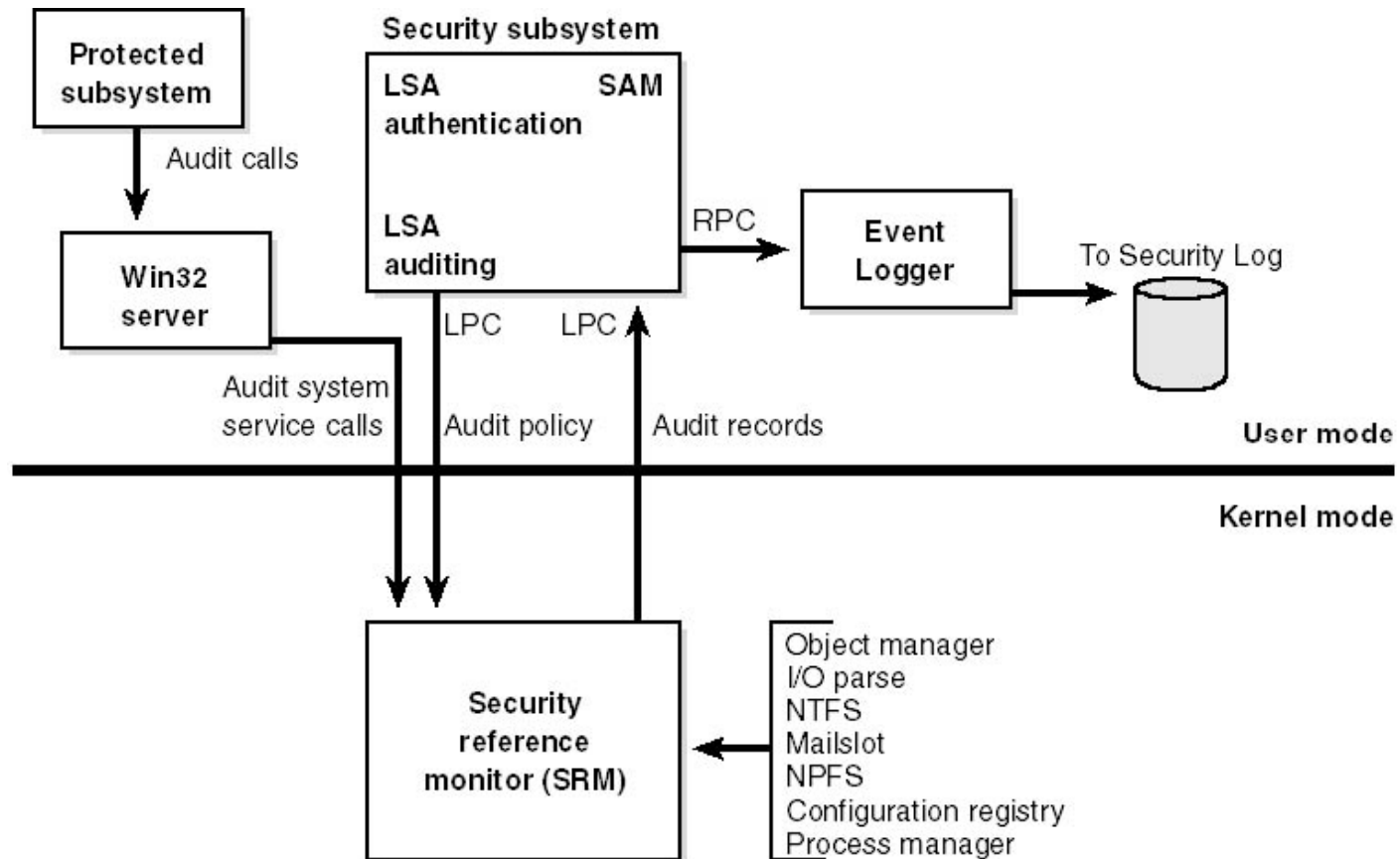
## Auditing

- **Definition**
  - The „other side“ of security (protection/monitoring)
  - Monitor security-related activity (success, failures)
  - Services are a primary security exposure
- **Types**
  - User Logons
  - Objects tracking/creation/accesses
    - file,directory, process, services, registry, printer, mutex....
    - Memory consumption
    - Network problem
  - Policy changes
  - Use of privileges (backup, system time....)
- **Two-steps process**
  - Set up the audit policy (kinds of events to be audited)
  - Configure actual objects to which the auditing will be applied

# Windows Security Components

The components that built the Windows security environment

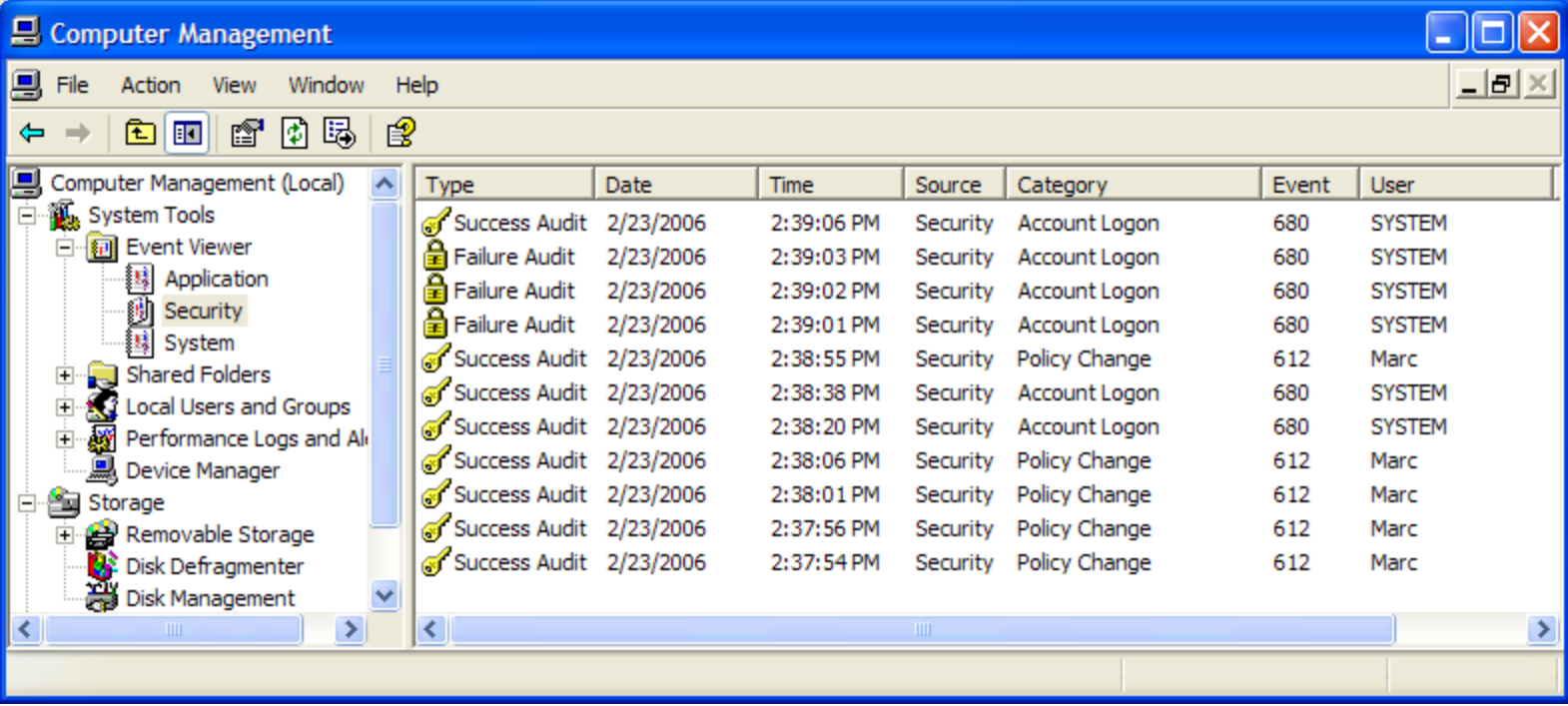
## Flow of auditing records



# Windows Security Components

The components that built the Windows security environment

## Viewing Auditing Events



The screenshot shows the Windows Computer Management console. The left pane displays the tree view with 'Event Viewer' expanded to 'Security'. The right pane shows a list of auditing events with the following columns: Type, Date, Time, Source, Category, Event, and User.

Type	Date	Time	Source	Category	Event	User
Success Audit	2/23/2006	2:39:06 PM	Security	Account Logon	680	SYSTEM
Failure Audit	2/23/2006	2:39:03 PM	Security	Account Logon	680	SYSTEM
Failure Audit	2/23/2006	2:39:02 PM	Security	Account Logon	680	SYSTEM
Failure Audit	2/23/2006	2:39:01 PM	Security	Account Logon	680	SYSTEM
Success Audit	2/23/2006	2:38:55 PM	Security	Policy Change	612	Marc
Success Audit	2/23/2006	2:38:38 PM	Security	Account Logon	680	SYSTEM
Success Audit	2/23/2006	2:38:20 PM	Security	Account Logon	680	SYSTEM
Success Audit	2/23/2006	2:38:06 PM	Security	Policy Change	612	Marc
Success Audit	2/23/2006	2:38:01 PM	Security	Policy Change	612	Marc
Success Audit	2/23/2006	2:37:56 PM	Security	Policy Change	612	Marc
Success Audit	2/23/2006	2:37:54 PM	Security	Policy Change	612	Marc

## Summary

- A secured object has always an owner
- A process always runs on behalf of a principal
- A principal is always assigned to a token
- A principal is uniquely identified with a SID
- A Security Descriptor is always assigned to an protected object
- Access check occurs only when opening an object
- Privilege is related to actions not to specific objects
- Audit is an essential part of the security

## Links

- **Programming NT Security** (Addison-Wesley, Keith Brown)
- **Windows NT Security** (R&D Books Miller Freeman, N.Okuntseff)
- **Windows NT Security Guide** (Addison Wesley, Stephen A. Sutton)
- **Microsoft Windows Internals fourth Edition**, (Microsoft Press, D.Solomon, M.Russinovich)
- **Secure Networking with Windows 2000 and Trust Services** (Addison Wesley, Jalal Fegghi and Jalil Fegghi)
- **Microsoft Windows 2000 Security Handbook** (Que, Jeff Schmidt)
- **Modern Operating Systems – Second Edition** (Prentice Hall, Tanenbaum)